



DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

ABRASIVE-FREE CHEMICAL MECHANICAL POLISHING COMPOSITION AND POLISHING PROCESS CONTAINING SAME

and for which a patent application:

☐ is attached hereto and includes amendment(s) filed on (if applicable)

☒ was filed in the United States on **October 21, 2003** and is identified by **Morgan, Lewis & Bockius LLP Docket 60937-0151-US**, and U.S. Patent Application Serial No. **10/689,043**

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
PCT/US97/12220	PCT	July 21, 1997	YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>
			YES <input type="checkbox"/>	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.


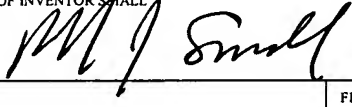
PROVISIONAL APPLICATION NUMBER	FILING DATE
60/023,299	July 16, 1996

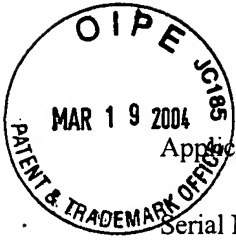
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED
09/226,996	January 7, 1999		X	
09/043,505	March 23, 1998	X		

*
for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME YAO	FIRST NAME Li	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Newark	STATE OR FOREIGN COUNTRY CA	COUNTRY OF CITIZENSHIP China	
	POST OFFICE ADDRESS	STREET 39690 Potrero Drive	CITY Newark	STATE OR COUNTRY CA	ZIP CODE 94560
	SIGNATURE OF INVENTOR YAO 			DATE 2/20/04	
2 0 2	FULL NAME OF INVENTOR	LAST NAME SMALL	FIRST NAME Robert	MIDDLE NAME J.	
	RESIDENCE & CITIZENSHIP	CITY Dublin	STATE OR FOREIGN COUNTRY CA	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 11418 Rampart Drive	CITY Dublin	STATE OR COUNTRY CA	ZIP CODE 94568
	SIGNATURE OF INVENTOR SMALL 			DATE 2/23/04	
2 0 3	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 203			DATE	
2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 204			DATE	
2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Li YAO et al.

Confirmation No.: 4499

Serial No.: 10/689,043

Art Unit: To be assigned

Filed: October 21, 2003

Examiner: To be assigned

For: COMPOSITIONS FOR CHEMICAL,
MECHANICAL, PLANARIZATION OF
TANTALUM AND TANTALUM NITRIDE

Attorney Docket No.: 60937-0151-US

POWER OF ATTORNEY BY ASSIGNEE

The undersigned Assignee of the entire interest in the above-identified application hereby grants power of attorney to the registered practitioners of Morgan, Lewis & Bockius LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to:

Customer Number 009629
Patent Support Unit
Morgan, Lewis & Bockius LLP
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
202.739.3001 (facsimile)

Please direct all telephone calls to Christopher G. Hayden 202.739.3000.

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

I am the:

- ☒ the assignee of the entire right, title, and interest; or
- ☐ an assignee of less than the entire right, title and interest.
- ☐ The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of an Assignment from the inventor(s) of the patent application identified above, and a copy of which is attached hereto, and which is co-filed separately.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

Date: 18 February 2004

EKC Technology, Inc.

By: Michael A. Fury

Michael A. Fury

Printed Name

Title: Vice President R&D